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ADENOVIRUS GENE EXPRESSION SYSTEM

STATEMENTS OF THE INVENTION

The present invention relates generally to a recombinant viral expression system. More particularly, the present invention relates to a highly efficient, recombinant adenovirus expression system capable of expressing a heterologous gene(s) in a host mammalian cell.

10 BACKGROUND OF THE INVENTION

The human adenovirus-5 (Ad5) genome consists of a double-stranded linear DNA molecule of 36 kilo-basepair (bp) (Ginsberg, 1984). The virus replication cycle has two phases: an early phase, during which 4 transcription units E1, E2, E3, and E4 are expressed, and a late phase occurring after the onset of viral DNA synthesis when late transcripts are expressed from the major late promoter (MLP). These late messages encode most of the viral structural proteins. E1, E2 and E4 gene products of human adenoviruses are involved in transcriptional activation, cell transformation, and viral DNA replication as well as other viral functions, and are essential for viral growth (Grand, 1987, *Biochem. J.*, vol. 241, pp. 25-38; and Nevins, 1987, *Microbiol. Rev.*, vol. 51, pp. 419-430). In contrast, E3 gene products are not required for viral replication in cultured cells (Ginsberg et al., 1989,)) but appear to be involved in evading immune surveillance in vivo (Anderson et al., 1985, *Cell*, vol. 43, pp. 215-222; Burgert et al., 1985, *Cell*, vol. 41, pp. 987-997; Burgert et al., 1987, *EMBO J.*, vol. 6, pp. 2019-2026; Carlin et al., 1989, *Cell*, vol. 57, pp. 135-144; Gooding and Wold, 1990, *Crit. Rev. Immunol.*, vol. 10, pp. 53-71; Gooding et al., 1988, *Cell*, vol. 53, pp. 341-346; Horton et al., 1990, *J. Virol.*, vol. 64, pp. 1250-1255; Tollefson et al., 1991, *J. Virol.*, vol. 65, pp. 3095-3105; Wold and Gooding, 1989, *Mol. Biol. Med.*, vol. 6, pp. 433-452; and Wold and Gooding, 1991, *Virology*, vol. 184, pp. 1-8).

E1 and E3 and a site upstream of E4 have been utilized as sites for insertion of foreign DNA sequences in the generation of recombinant adenoviruses (Berkner et al., 1984, *Nuc. Acids.*

Res., vol. 12, pp. 1925-1941; Chanda et al., 1990, *Virology*,  
vol. 175, pp. 535-547; Haj-Ahmad et al., 1986, *J. Virol.*, vol.  
57, pp. 267-274; and Saito et al., 1985, *J. Virol.*, vol. 54,  
pp. 711-719). Since the upper size limit for DNA molecules  
5 that can be packaged into adenovirus particles is  
approximately 105% of the wild-type genome (Ghosh-Choudhury et  
al., 1987, *EMBO J.*, vol. 6, pp. 1733-1739), only about 2 kb of  
extra DNA can be inserted without compensating deletions of  
viral DNA. Although E1 is essential for virus replication in  
10 cell culture, foreign DNA can be substituted for E1 sequences  
when the virus is grown in 293 cells which are transformed by  
adenovirus-5 DNA and constitutively express E1 (Graham et al.,  
1977, *J. Gen. Virol.*, vol. 36, pp. 59-72). Several vectors  
having 1.9 kb deleted from E3 of adenovirus-5 have been  
15 constructed without interfering with virus replication in  
cell culture (Graham et al., 1992, *Vaccines; New Approaches to*  
*Immunological Problems*, R.W. Ellis (Ed.), Butterworth-  
Heinemann, Boston, MA, pp. 364-390). Such vectors allow for  
insertion of up to 4 kb of foreign DNA. Recombinant  
20 adenoviruses containing inserts in E3 replicate in all  
adenovirus-permissive cell lines and may be suitable as live  
recombinant viral vaccines since a number of adenovirus  
vectors containing E3 inserts have been shown to express  
foreign genes efficiently both *in vitro* and *in vivo* (Berkner,  
25 1988; Chanda et al., 1990; Dewar et al., 1989, *J. Virol.*, vol.  
63, pp. 129-136; Graham, 1990, *Trends Biotechnol.*, vol. 8, pp.  
85-87; Graham et al., 1992; Johnson et al., 1988, *Virology*,  
vol. 164, pp. 1-14; Lubeck et al., 1989, *Proc. Natl. Acad.*  
*Sci. USA*, vol. 86, pp. 6763-6767; McDermott et al. 1989,  
30 *Virology*, vol. 169, pp. 244-247; Morin et al., 1987, *Proc.*  
*Natl. Acad. Sci. USA*, vol. 84, pp. 4626-4630; Prevec et al.,  
1989, *J. Gen. Virol.*, vol. 70, pp. 429-434; Prevec et al.,  
1990, *J. Inf. Dis.*, vol. 161, pp. 27-30; Schneider et al.,  
1989, *J. Gen. Virol.*, vol. 70, pp. 417-427; Vernon et al.,  
35 1991, *J. Gen. Virol.*, vol. 72, pp. 1243-1251; and Yuasa et  
al., 1991, *J. Gen. Virol.*, vol. 72, pp. 1927-1934).

Adenoviruses are good mammalian cell expression vectors with potential utility as live recombinant vaccines, in gene therapy, or for high level protein production in mammalian cells.

5        Adenovirus expression vectors have been in use for the past decade (Thummel et al., 1981, *Cell*, vol. 23, pp. 825-836; Berkner et al., 1984, *Nucleic Acids Res.*, vol. 12, pp. 1925-1941; and for a review see Grunhaus et al., 1992, *Seminars in Virology* 3, pp. 237-252), and more recently exploited for the  
10        purpose of gene therapy (Herz et al., 1993, *Proc. Natl. Acad. Sci. U.S.A.*, vol. 90, pp. 2812-2816; Rosenfeld et al., 1991, *Science*, vol. 252, pp. 431-434; and Rosenfeld et al., 1992, *Cell*, vol. 68, pp. 143-155). Features of adenovirus based expression vectors which make them attractive to gene therapy  
15        applications include very efficient uptake into cells which contain the appropriate adenovirus receptor and uptake pathway, and the ability to carry up to 7.5 kb of foreign DNA. Adenovirus vectors allow a reporter gene to be under the control of tissue specific promoter elements (Friedman et al.,  
20        1986, *Mol. Cell. Biol.*, vol. 6, pp. 3791-3797; and Babiss et al., 1986, *Mol. Cell. Biol.*, vol. 6, pp. 3798-3806) as well as a variety of viral and mammalian constitutive promoter elements (Mittal et al., 1993, *Virus Research*, vol. 28, pp. 67-90).

25        One such example of an adenovirus-based vector system is described in Mittal et al., 1993, *Virus Research*, vol. 28, pp. 67-90. The authors here describe a helper-independent adenovirus type 5-luciferase recombinant containing the firefly luciferase gene flanked by simian virus 40 (SV40)  
30        regulatory sequences inserted into the early region 3 (E3) of the adenovirus-5 genome. A plasmid containing the luciferase gene and SV40 regulatory sequences in the E3 region was co-transfected with a plasmid containing the adenovirus-5 dl309 genome in circular form. Upon transfection of 293 cells,  
35        virus progeny produced by *in vivo* recombination between the two plasmids resulted in rescue of the adenovirus type 5-luciferase recombinant (i.e., E3 insert in Adenovirus-5

genome).

Gomez-Foix et al., 1992, *J. Biol. Chemistry*, vol. 267, no. 35, pp. 25129-25134, discloses adenovirus-mediated transfer of the muscle glycogen phosphorylase gene into  
5 hepatocytes in culture. The preparation of a recombinant adenovirus containing the cDNA encoding rabbit muscle glycogen phosphorylase is described whereby the cytomegalovirus (CMV) early gene promoter/enhancer, pUC 18 polylinker, fragment of the SV40 genome that includes the small T-antigen intron and  
10 the polyadenylation signal, and cDNA that includes all of the protein coding region of the rabbit muscle glycogen phosphorylase, was inserted into vector pAC. The resulting plasmid was co-transfected into 293 cells with plasmid pJM17, which encodes a full-length adenovirus-5 genome. Homologous  
15 recombination between the recombinant plasmids in 293 cells generated a genome of packageable size in which the adenovirus early region 1 was replaced by the cloned chimeric gene encoding rabbit muscle glycogen phosphorylase.

Roessler et al., 1993, *J. Clin. Invest.*, discloses using  
20 a recombinant adenoviral vector for the expression of the gene for *Escherichia coli* beta-galactosidase within synovium tissue. Replication defective adenoviral vectors are deleted of sequences spanning E1A, E1B and a portion of the E3 region, impairing the ability of this virus to replicate or transform  
25 nonpermissive cells. The early enhancer/promoter of the cytomegalovirus (CMV) was inserted into this vector to drive transcription of *lacZ* with a SV40 polyadenylation sequence cloned downstream from this reporter.

Yang et al., *Proc. Natl. Acad. Sci. USA*, vol. 90, pp.  
30 9480-9484, discloses the expression of cystic fibrosis transmembrane conductance regulator (CFTR) by adenovirus-mediated gene transfer. The recombinant adenoviruses were produced by homologous recombination of two vectors which contain the following relevant sequences: 5' ITR of  
35 adenovirus-5 spanning 0-1 map units; *Tha* I-*Sna*BI fragment of the immediate-early gene of cytomegalovirus; promoter from the chicken  $\beta$ -actin gene spanning *Xho* I at nucleotide (nt) -275 to

Mbo I at nt +1; human CFTR cDNA containing 60 nt of 5' untranslated sequence, the entire coding sequence, and 80 nt of 3' untranslated sequence; simian virus 40 late gene polyadenylation signal; 9.2-16.1 map units of adenovirus-5; and plasmid sequences.

Herz et al., 1993, *Proc. Natl. Acad. Sci. USA*, vol. 90, pp. 2812-2816, discloses the use of adenovirus-mediated gene transfer to transiently elicit production of low density lipoprotein (LDL) receptors in mice. Recombinant adenoviruses containing: 1) cDNA encoding the human LDL receptor (AdCMV-LDLR) (CMV, cytomegalovirus); 2)  $\beta$ -galactosidase (AdCMV- $\beta$ gal); and firefly luciferase (AdCMV-Luc), were prepared using co-transfection of the appropriate plasmids in 293 cells.

Rosenfeld et al., 1991, *Science*, vol. 252, pp. 431-434, discloses adenovirus-mediated transfer of recombinant  $\alpha$ 1-antitrypsin gene to the lung epithelium cells of the cotton rat respiratory tract *in vivo*. The adenoviral vector contained an adenovirus major late promoter and a recombinant human  $\alpha$ 1-antitrypsin gene.

Quantin et al., 1992, *Proc. Natl. Acad. Sci. USA*, vol. 89, pp. 2581-2584, discloses a recombinant adenovirus containing the  $\beta$ -galactosidase reporter gene under the control of muscle-specific regulatory sequences. This recombinant virus directed expression of the  $\beta$ -galactosidase in myotubes *in vivo*.

Problems associated with adenovirus infection, particularly those associated with repression of host cell mRNA translation and shutdown of host normal mRNA production (Babich et al., 1983, *Mol. Cell. Biol.*, vol. 3, pp. 1212-1221; Beltz et al., 1979, *J. Mol. Biol.*, vol. 131, pp. 353-373; Schneider et al., 1987, *Annu. Rev. Biochem.*, vol. 56, pp. 317-332) have been addressed by using defective adenovirus vectors which are based on mutations in the dominant regulatory region, E1 (Harrison et al., 1977, *Virology*, vol. 77, pp. 319-329; Jones et al., 1979, *Cell*, vol. 17, pp. 583-689). In addition, conventional adenovirus vector systems typically require high cell exposure (e.g., MOI's in excess of 500

PFU/cell) for expression of the desired gene, which is detrimental to the cells because of cytopathic effects from exposure. Therefore, a need exists for an adenovirus-mediated expression vector which can infect cells at low doses, yet can exhibit maximum expression of a gene in the cell.

Moreover, although adenovirus-based vectors for gene expression have been successfully employed with a number of mammalian and viral genes (for review, see Mulligan, R.C., 1993, *Science*, vol. 260, pp. 926-932), they have not apparently been used to express any member of the guanine nucleotide-binding protein coupled receptors (GPCR) family, such as the pituitary thyrotropin-releasing hormone (TRH-R) (Straub et al., 1990, *Proc. Natl. Acad. Sci U.S.A.*, vol. 87, pp. 9514-9518; Yamada et al., 1992, *Biochem. Biophys. Res. Commun.*, vol. 184, pp. 367-372; Zhao et al., 1992, *Endocrinology*, vol. 130, pp. 3529-3536; de la Pena et al., 1992, *Biochem. J.*, vol. 284, pp. 891-899). Seven transmembrane-spanning GPCRs comprise a large family of cell surface regulatory proteins (Dohlman et al., 1991, *Annu. Rev. Biochem.*, vol. 60, pp. 653-688). When studying the molecular details of receptor biology in mammalian cells, expression of wild type and mutant receptors is usually accomplished by gene transfer by one of several transfection procedures.

Assays using 1) a cell system that permits intracellular replication of the plasmid vector during transient expression studies; or 2) transfectants that stably express the receptor of interest, provide useful, but, limited receptor expression. Where transfections yield low levels of receptor expression, or where the range of cell types that can be transfected is restricted, studies of these receptors is limited. Adenovirus-mediated gene transfer could be employed as an alternative strategy to plasmid based receptor expression vectors. A significant advantage of using adenovirus-mediated gene transfer is the wide variety of cells which are susceptible to infection by adenovirus. This should permit study of TRH-R biology in a variety of mammalian cell types, including those not amenable to transfection techniques.

Furthermore, the analysis of elements involved in cardiac myocyte gene regulation would be greatly facilitated by a simple and efficient method of adenovirus-mediated gene transfer. Because there are no permanent cardiac myocyte cell lines, the majority of cardiac myocyte gene expression studies have been carried out using transient gene transfer techniques into primary cultures of fetal and neonatal cardiocytes (Gustafson et al., 1987, *Proc. Natl. Acad. Sci. U.S.A.*, vol. 84, pp. 3122-3126). Although useful, this methodology has many limitations, including relatively low efficiency as well as being restricted to fetal and neonatal stages of development since transient transfection of adult cardiac myocytes has not been reported.

As an alternative, *in vitro* studies of cardiac myocyte gene regulation and gene transfer have been successfully carried out in transgenic (Rindt et al., 1993, *J. Biol. Chem.*, vol. 268, pp. 5332-5338; and Subramanian et al., 1991, *J. Biol. Chem.*, vol. 266, pp. 24613-24620). However, the generation of transgenic mouse lines is both costly and extremely time consuming.

A second approach to cardiac gene transfer *in vitro* has relied on injecting plasmid DNA into the myocardium and measuring reporter gene expression in the cells which have successfully taken up sufficient quantities of DNA (Kitsis et al., 1991, *Proc. Natl. Acad. Sci. U.S.A.*, vol. 88, pp. 4138-4142; Lin et al., 1990, *Circulation*, vol. 82, pp. 2217-2221; and Ascadi et al., 1991, *The New Biologist*, vol. 3, pp. 71-81). The problem associated with direct DNA injection is its relative inefficiency as only approximately 0.02% of the myocytes in the adult rat heart take up and express injected DNA (Kitsis et al., 1993, in *Methods in Molecular Genetics*, ed. Adolph, K. W., Academic Press, Inc., New York, Vol. 1, pp. 374-392).

A recent report demonstrated efficient gene transfer into adult rat cardiocytes *in vitro* (Kirshenbaum et al., 1993, *J. Clin. Invest.*, vol. 92, pp. 381-387). In addition, recent studies using adenovirus vectors introduced intravenously into

both rats and mice, indicate that the virus will infect a wide variety of tissue types, including mouse skeletal and cardiac muscle (Quantin et al., 1992, *Proc. Natl. Acad. Sci. U.S.A.*, vol. 89, pp. 2581-2584; and Stratford-Perricaudet et al., 1992, *J. Clin. Invest.*, vol. 90, pp. 626-630). However, little quantitative data is available concerning expression of adenovirus-mediated gene transfer *in vivo*. Therefore, a need exists for an adenovirus-mediated gene transfer vector system which would function effectively with primary cultures of cardiac myocytes and one which would also have application *in vitro*.

#### SUMMARY OF THE INVENTION

The primary object of the present invention is to provide an adenovirus-based expression system capable of expressing a heterologous gene(s) in a host mammalian cell.

The present invention provides a novel, highly efficient, recombinant adenovirus expression system for expression of a heterologous gene(s) and/or gene product(s) in a mammalian cell. The recombinant adenovirus expression system of the invention was produced via homologous recombination between the novel vector of the invention co-transfected with the large fragment of the adenovirus-5 genome in 293 cells.

In accordance with the present invention, the novel expression vector is preferably a plasmid vector. The plasmid vector of the invention can be used as a generic vector, that is, for the expression of any number of selected heterologous gene(s). The generic plasmid vector is designated pAdCMV-HS-Vector. The plasmid vector described herein can itself be transfected into a mammalian cell for the expression of any number of gene(s) and/or production of a gene product(s), depending on the heterologous gene(s) cloned into the plasmid vector. Alternatively, the plasmid vector can be converted into the recombinant adenovirus of the invention. Examples of various uses of the plasmid vector are described in the various embodiments disclosed herein.

In one embodiment of the invention, the plasmid vector



includes at least one cDNA insertion site, i.e., restriction site(s) for cloning a selected heterologous gene(s).

Positioned upstream of the gene insertion site(s) is a promoter which controls expression of the heterologous

5 gene(s). The promoter is preferably the mouse cytomegalovirus (CMV) early promoter, or an effective expression promoting fragment thereof. Positioned upstream of the promoter, is the left end replication and packaging elements of the adenovirus-5 genome. A eukaryotic splice acceptor and splice donor site  
10 is positioned immediately downstream of the promoter.

Following the splicing sequence elements, is the gene insertion site(s), which is followed by the polyadenylation sequence, and the region for homologous recombination which contains a portion of the adenovirus-5 genome. The  
15 polyadenylation sequence preferably comprises the 3' processing site taken from the mouse  $\beta$ -globin transcription unit i.e., Globin poly(A). The order and choice of the splicing and polyadenylation elements results in optimal processing of the pre-mRNA into mRNA. The region for  
20 homologous recombination preferably is the adenovirus-5 genome nucleotide sequence 2800-5776.

The plasmid vector of the invention can be readily converted into a recombinant adenovirus for expression of a heterologous gene(s) and/or gene product(s) in a mammalian  
25 cell. Here, the plasmid vector is co-transfected with the large fragment of the adenovirus-5 genome i.e., 3.8-100 map units and/or an appropriate derivative thereof. Homologous recombination between these DNA fragments results in the production of a replication defective, recombinant adenovirus.  
30 The recombination reconstructs the adenovirus-5 genome by displacing the E1A and E1B protein coding regions with the plasmid vector cDNA.

In another embodiment of the invention, there is provided a recombinant adenovirus expression system for the receptor  
35 for thyrotropin-releasing hormone (TRH-R). The recombinant adenovirus, designated AdCMVmTRHR, circumvents difficulties encountered when using conventional transient or stable

plasmid expression systems. Using this recombinant adenovirus (AdCMVmTRHR), TRH-Rs can be expressed in different mammalian cell types, including those resistant to transient transfection assay. Recombinant adenovirus, AdCMVmTRHR, was produced by homologous recombination between plasmid vector, designated pAdCMVmTRHR, i.e. the generic plasmid vector of the invention containing the gene coding TRH-R, co-transfected with the large fragment of adenovirus-5 dl309 genome. The versatility of using adenovirus mediated gene transfer and expression of TRH-Rs not only facilitates *in vitro* studies of TRH-R biology, but provides a valuable *in vivo* expression vector capable of extending TRH-R studies in animal model systems.

In a further embodiment of the present invention, infection of cultured fetal and adult rat cardiac myocytes *in vitro* and of adult cardiac myocytes *in vivo* was characterized using the recombinant adenovirus of the invention. The recombinant adenovirus, designated AdCMVCATgD, includes the chloramphenicol acetyltransferase (CAT) reporter gene driven by the cytomegalovirus (CMV) promoter. Plasmid vector pAdCMVCATgD i.e., generic plasmid vector of the present invention containing the gene encoding the bacterial CAT sequence, was co-transfected with the large fragment of the adenovirus-5 genome (3.6-100 map units). Homologous recombination between the plasmid vector and adenovirus fragment produced the recombinant adenovirus, designated AdCMVCATgD.

Virtually all fetal or adult cardiocytes expressed the CAT gene *in vitro* when infected with 1 plaque forming unit (pfu) of virus per cell. Using *in vitro* studies as a guide, recombinant virus AdCMVCATgD was introduced directly into adult rat myocardium and the expression results obtained from virus injection was compared to those obtained by direct injection of plasmid vector pAdCMVCATgD DNA. The amount of CAT activity resulting from adenovirus infection of the myocardium is orders of magnitude higher than that seen from DNA injection and is proportional to the amount of input virus.

The recombinant adenovirus-mediated gene delivery system is a very effective tool for high efficiency gene transfer into the cardiovascular system.

5     **BRIEF DESCRIPTION OF THE DRAWINGS**

      Figs. 1(a) & 1(b) is a graphic map showing the structure of the generic plasmid vector of the invention, designated pAdCMV-HS-Vector.

10     Fig. 2 is a graphic map showing the structure of plasmid vector pGEM2AdCMV.

      Fig. 3 is a graphic map showing the structure of plasmid vector ML SIS CAT.

      Fig. 4 is a graphic map showing the structure of plasmid vector ML SIS CAT-PA #11.

15     Fig. 5 is a graphic map showing the structure of plasmid vector ML SIS CAT gD355.

      Fig. 6 is a graphic map showing the structure of plasmid vector pAdCMVCATgD.

20     Fig. 7 is a graphic map showing the structure of plasmid vector pPYNeo.

      Fig. 8 is a graphic map showing the structure of plasmid vector pMLAdCMVCATgDNeo-.

      Fig. 9 is a graphic map showing the structure of plasmid vector pAdCMVdH-TRHRE2.

25     Fig. 10 is a graphic map showing the structure of plasmid vector pAdCMVdH-IFN-GL3.

      Fig. 11 is a graphic map showing the structure of plasmid vector pGEM2AdCMVcatgD.

30     Fig. 12 is a graphic map showing the structure of plasmid vector pML-ElaEF-5778.

      Fig. 13 is a graphic map of plasmid vector pAdCMVmTRHR used for the construction of recombinant adenovirus AdCMVmTRHR.

35     Fig. 14 is a graph showing a comparison of infection with AdCMVmTRHR and transfection with pAdCMVmTRHR on expression of TRH-Rs and methylTRH responsiveness in six mammalian cell lines.

Fig. 15 is a graph showing TRH-induced desensitization and PMA-induced inhibition of the TRH response in AdCMVmTRHR-infected GHY, COS-1 and KB cells.

5 Fig. 16 is a graph showing *methy*lTRH-stimulated TRH-R internalization in AdCMVmTRHR-infected GHY, COS-1 and KB cells.

Fig. 17 is a graphic map of plasmid vector pAdCMVCATgD used in construction of recombinant adenovirus AdCMVCATgD.

10 Fig. 18(a) is a graph showing dosage and time dependent expression of adenovirus in fetal cardiocytes.

Fig. 18(b) is a graph showing dosage and time dependent CAT expression following infection by AdCMVCATgD in adult cardiocytes.

15 Fig. 19 is a graph showing distribution of CAT activity in cells of AdCMVCATgD injected hearts.

Fig. 20A is a graph showing CAT expression in the left ventricle 5 days following intracardiac injection of four doses of adenovirus [AdCMVCATgD;  $6 \times 10^6$ , (n=4);  $6 \times 10^7$ , (n=4);  $6 \times 10^8$ , (n=3); and  $2 \times 10^9$ , (n=2)].

20 Fig. 20B is a graph showing CAT expression over time in the left ventricle following injection of  $6 \times 10^7$  pfu of AdCMVCATgD virus.

Fig. 21 (a-f) is an immunohistochemical staining for CAT protein in adenovirus infected hearts.

25 Fig. 22 is a schematic showing the nucleotide sequence of plasmid vector pAdCMV-HS-Vector, as shown in Figs. 1(a) & 1(b).

#### DETAILED DESCRIPTION OF THE INVENTION

30 As used throughout this specification, the following definitions apply for purposes of the present invention:

The term "restriction enzyme digestion" of DNA refers to catalytic cleavage of the DNA with an enzyme that acts only at certain locations in the DNA. Such enzymes are called  
35 restriction endonuclease, and the sites for which each is specific is called a restriction site. The various restriction enzymes used herein are commercially available and

their reaction conditions, cofactors, and other requirements as established by the enzyme suppliers are used. Restriction enzymes commonly are designated by abbreviations composed of a capital letter followed by other letters representing the microorganism from which each restriction enzyme originally was obtained and then a number designating the particular enzyme. In general, about 1  $\mu$ g of plasmid or DNA fragment is used without 1-2 units of enzyme in about 20  $\mu$ l of buffer solution. Appropriate buffers and substrate amounts for particular restriction enzymes are specified by the manufacturer. Incubation of about 1 hour at 37°C is ordinarily used, but may vary in accordance with the supplier's instructions. After incubation, protein or polypeptide is removed by extraction with phenol and chloroform, and the digested nucleic acid is recovered from the aqueous fraction by precipitation with ethanol. Digestion with a restriction enzyme may be followed with bacterial alkaline phosphatase hydrolysis of the terminal 5' phosphates to prevent the two restriction cleaved ends of a DNA fragment from circularizing or forming a closed loop that would impede insertion of another DNA fragment at the restriction site. Unless otherwise stated, digestion of plasmids is not followed by 5' terminal dephosphorylation. Procedures and reagents for dephosphorylation are conventional as described in Sections 1.56-1.61 of Sanbrook, et.al., *Molecular Cloning: A Laboratory Manual* New York: Cold Spring Harbor Laboratory Press, 1989, which disclosure is hereby incorporated by reference).

The term "recovery" or "isolation" of a given fragment of DNA from a restriction digest means separation of the digest on polyacrylamide or agarose gel by electrophoresis, identification of the fragment of interest by comparison of its mobility versus that of marker DNA fragments of known molecular weight, removal of the gel section containing the desired fragment, and separation of the gel from DNA. These procedures are generally well known. For example, see Lawn et al., 1981, *Nucleic Acids Res.*, vol. 9, pp. 6103-6114; and

Goeddel et al., 1980, *Nucleic Acids Res.*, vol. 8, p. 4057, which disclosures are hereby incorporated by reference.

The term "expression" may be characterized as follows: A cell is capable of synthesizing many proteins. At any given time, many proteins which the cell is capable of synthesizing are not being synthesized. When a particular polypeptide, coded for by a given gene, is being synthesized by the cell, that gene is said to be expressed. In order to be expressed, the DNA sequence coding for that particular polypeptide must be properly located with respect to the control region of the gene. The function of the control region is to permit the expression of the gene under its control.

The term "southern blot analysis" is a method by which the presence of DNA sequences in a restriction endonuclease digest of DNA or DNA-containing composition is confirmed by hybridization to a known, labeled oligonucleotide or DNA fragment. Southern analysis typically comprises electrophoretic separation of DNA digests on agarose gels, denaturation of the DNA after electrophoretic separation, and transfer of the DNA to nitrocellulose, nylon, or another suitable membrane supports for analysis with a radiolabeled, biotinylated or enzyme-labeled probe as described in sections 9.37-9.52 of Sambrook et al., *supra*, which disclosure is hereby incorporated by reference.

The term "northern analysis" is a method used to identify RNA sequences that hybridize to a known probe such as an oligonucleotide, DNA fragment, cDNA or fragment thereof, or RNA fragment. The probe is labeled with a radioisotope such as  $^{32}\text{P}$ , or by biotinylation, or with an enzyme. The RNA to be analyzed is usually electrophoretically separated on an agarose or poly-acrylamide gel, transferred to nitrocellulose, nylon, or other suitable membrane, and hybridized with the probe, using standard techniques well known in the art, such as those described in sections 7.39-7.52 of Sambrook et al., *supra*, which disclosure is hereby incorporated by reference.

The term "vector" refers to an extra-chromosomal molecule of duplex DNA comprising an intact replicon that can be

replicated in a cell. Generally, vectors are derived from viruses or plasmids of bacteria and yeasts. An adenovirus vector comprises an adenovirus replicon.

5 The term "gene" refers to those DNA sequences which transmit the information for and direct the synthesis of a single protein chain.

The term "infection" refers to the invasion by agents (e.g., viruses, bacteria, etc.) of cells where conditions are favorable for their replication and growth.

10 The term "heterologous gene" in reference to the adenovirus vectors hereof, refers to DNA that encodes polypeptides ordinarily not produced by the virus from which the vector is derived, but which is introduced into the cell as recombinant DNA or within viruses carrying recombinant DNA  
15 genomes.

The term "plasmid" means a bacterial vector which is used as an intermediate in the construction of a virus vector. A plasmid facilitates the transfer of exogenous genetic information, such as the combination of a novel promoter and a  
20 heterologous structural gene under the regulatory control of that promoter, to a specific site within the viral genome by homologous recombination via the DNA sequences flanking the chimeric gene. The plasmid can itself express a heterologous gene inserted therein.

25 "Plasmids" are designated by a lower case p preceded and/or followed by capital letters and/or numbers. The starting plasmids herein are commercially available, are publicly available on an unrestricted basis, or can be constructed from such available plasmids in accord with  
30 published procedures. In addition, other equivalent plasmids are known in the art and will be apparent to one of ordinary skill in the art.

The term "ligation" means the process of forming phosphodiester bonds between two nucleic acid fragments. To  
35 ligate the DNA fragments together, the ends of the DNA fragments must be compatible with each other. In some cases, the ends will be directly compatible after endonuclease

digestion to blunt ends to make them compatible for ligation. To blunt the ends, the DNA is treated in a suitable buffer for at least 15 minutes at 15° C, with about 10 units of the Klenow fragment of DNA polymerase I or T4 DNA polymerase in the presence of the four deoxyribonucleotide triphosphates. The DNA is then purified by phenolchloroform extraction and ethanol precipitation. The DNA fragments that are to be ligated together are put in solution in about equimolar amounts. The solution will also contain ATP, ligase buffer, and a ligase such as T4 DNA ligase at about 10 units per 0.5 g of DNA. If the DNA is to be ligated into a vector, the vector is first linearized by digestion with the appropriate restriction endonuclease(s). The linearized fragment is then treated with bacterial alkaline phosphatase, or calf intestinal phosphatase to prevent self-ligation during the ligation step.

"Preparation" of DNA from cells means isolating the plasmid DNA from a culture of the host cells. Commonly used methods for DNA preparation are the large and small scale plasmid preparations described in sections 1.25-1.33 of Sambrook et al., supra, which disclosure is hereby incorporated by reference. After preparation of the DNA, it can be purified by methods well known in the art such as that described in section 1.40 of Sambrook et al., supra, which disclosure is hereby incorporated by reference.

This invention achieves significantly enhanced *in vitro* and *in vivo* expression levels of heterologous gene(s) by inserting into a host mammalian cell the adenovirus expression system or plasmid vector of the invention, containing foreign cDNA encoding the heterologous gene(s) under the transcriptional control of DNA fragments derived from the mouse cytomegalovirus (CMV) immediate early gene regulatory sequences. It is understood that the CMV immediate early promoter can be combined with enhancer elements isolated from other transcriptional units to increase expression efficiency.

The recombinant adenovirus expression system and plasmid vector include at least one cDNA insertion site(s)



i.e., restriction site(s) for cloning a selected heterologous gene(s). Other important features of the adenovirus expression system and plasmid vector of the invention include a highly efficient eukaryotic splicing sequence elements located immediately downstream the promoter, and a strong polyadenylation sequence following the heterologous gene insertion site.

In an alternative embodiment of the invention, the plasmid vector can be readily converted into the recombinant adenovirus expression system of the invention for expression of a heterologous gene(s) and/or gene product(s) in a mammalian cell. To produce the recombinant adenovirus, the plasmid vector of the invention is co-transfected with the large fragment of adenovirus-5 genome in 293 cells.

Homologous recombination between these DNA fragments results in the production of a replication defective, recombinant adenovirus, which includes cDNA from the plasmid vector.

Host cells useful for expression of the heterologous gene(s) includes any mammalian cell in which the recombinant adenovirus and/or plasmid vector of the invention are capable of uptake and expression. The plasmid vector of the invention can be used to transfect a mammalian host cell for production of the inserted gene product. It is understood that the plasmid vector can be introduced into the host cell(s) using conventional techniques known in the art, such as, for example, transfection. The recombinant adenovirus can be introduced into the host cell via infection using standard techniques in the art.

The plasmid vector(s) and recombinant adenovirus(es) of this invention can be prepared using standard genetic engineering technologies known to the art, as described by Maniatis et al., 1982, *Molecular Cloning: A Laboratory Manual*, Cold Springs Laboratory, Cold Springs Harbor, New York; and Sambrook et al., (*Molecular Cloning: A Laboratory Manual* New York: Cold Spring Harbor Laboratory Press, 1989, which disclosures are hereby incorporated by reference.

In a preferred embodiment of the invention, the

plasmid vector of the invention comprises, starting from the left end of the adenovirus at position 1, adenovirus nucleotide sequence from 1-353 containing the origin of replication and the viral packaging sequence; adenovirus  
5 nucleotide sequence from 354-2800 was deleted and replaced with the CMV-1 promoter, eukaryotic splice elements, the cDNA encoding the selected heterologous gene(s) and the Globin poly(A) site; and adenovirus nucleotide sequence from nucleotide 2800-5776, which serves as the region for  
10 homologous recombination.

To obtain efficient expression of the heterologous gene(s), a eukaryotic promoter must be present in the plasmid vector and recombinant adenovirus expression system. It is understood that any known eukaryotic promoter can be utilized  
15 in the plasmid vector and/or recombinant adenovirus expression system of the invention provided the promoter is capable of expressing the heterologous gene(s). The promoter used herein, preferably, is the mouse cytomegalovirus-1 early promoter, or an effective expression promoting fragment thereof. For an example of the CMV promoter, see U.S. Patent  
20 No. 4,963,481 to Jean P. deVilliers, which disclosure is hereby incorporated by reference. The use of the mouse CMV promoter is of broad utility because this promoter has a very broad host range and functions with superior strength and  
25 efficiency in a wide variety of cell lines tested.

The presence and position of the splicing elements with respect to the cDNA are important to overall processing efficiency, as is the choice of splicing elements. In the present invention, a hybrid splice donor and acceptor was used  
30 which yielded a highly efficient processing activity compared to the more common splice element used in other systems i.e., the SV40 small T splice site. By inserting the cDNA downstream of the splice elements, we are coupling the splice elements to the downstream 3' processing site generating a  
35 terminal exon. Use of a demonstrably efficient poly(A) site maximizes efficiency of the expression system. This allows efficient conversion of pre-mRNA into mRNA and allows the

system to take full advantage of the high level of expression generated by the CMV promoter.

Any of the conventional cloning methods for insertion of the gene and/or gene fragment(s) into the plasmid vector can be used to ligate the promoter and the other control elements into specific sites within the plasmid vector. Accordingly, heterologous gene sequence(s) containing those regions coding for the gene(s) can be ligated into the plasmid vector at a specific restriction site in relation to the promoter and control elements so that when either the recombinant adenovirus or plasmid vector is introduced into the mammalian cell, the foreign genetic sequence can be expressed (i.e., transcribed and translated) by the host cell.

Another important feature of the adenovirus expression system and plasmid vector of the invention, is the ability to express more than one heterologous gene, simultaneously. Using the expression systems of the invention, it is possible to express at least two heterologous genes at the same time. The second heterologous gene, is preferably inserted into the *NotI* restriction site in the plasmid vector. However, it is understood that other restriction sites positioned between the packaging sequence and the promoter are available for insertion of the second gene.

As previously mentioned, the plasmid vector can be introduced into an appropriate host cell (i.e., mammalian cells) by transfection, and the recombinant adenovirus can be introduced by infection. Stable transformants can be selected based upon the expression of one or more appropriate gene markers either present or inserted into the adenovirus plasmid, such as, for example, G418 resistance in eukaryotic host systems. Expression of such marker genes should indicate that the recombinant DNA molecule is integrated and functional. It is understood that any known gene marker in the art can be utilized herein. Such gene markers can be derived from cloning vectors, which usually contain a marker function.

The plasmid vector and recombinant adenovirus containing the heterologous gene(s) can be identified by three approaches: (1) DNA-DNA hybridization using probes comprising sequences that are homologous to the gene(s); (2) presence or  
5 absence of "marker" gene function and (3) expression of inserted sequences based on physical, immunological or functional properties. Once a recombinant which expresses the gene is identified, the gene product should be analyzed. One goal of the invention is to use the plasmid vector and  
10 recombinant adenovirus expression system for gene expression and/or gene transfer in mammalian cells. Once the recombinant virus or plasmid is identified, it is cultured under conditions which facilitate growth of the cells and expression of the gene as will be apparent to one skilled in the art.  
15 Thereafter, the gene product can be isolated and purified by standard methods including chromatography (e.g., ion exchange, affinity, and sizing column chromatography), centrifugation, differential solubility, or by any other standard techniques.

The protein(s) encoded by the heterologous gene(s) inserted into the plasmid vector and recombinant adenovirus  
20 expression system can comprise any known protein, including; growth hormone, human growth hormone (HGH), des-N-methionyl human growth hormone, bovine growth hormone, parathyroid hormone, thyroxine, insulin A-chain, insulin B-chain,  
25 proinsulin, relaxin A-chain, relaxin B-chain, prorelaxin, follicle stimulating hormone (FSH), thyroid stimulating hormone (TSH), leutinizing hormone (LH), glycoprotein hormone receptors, calcitonin, glucagon, factor VIII, an antibody, lung surfactant, urokinase, streptokinase, human tissue-type  
30 plasminogen activator (t-PA), bombesin, factor IX, thrombin, hemopoietic growth factor, tumor necrosis factor-alpha and -beta, enkephalinase, human serum albumin, mullerian-inhibiting substance, mouse gonadotropin-associated peptide,  $\beta$ -lactamase, tissue factor protein, inhibin, activin, vascular endothelial  
35 growth factor, integrin receptors, thrombopoietin, protein A or D, rheumatoid factors, NGF- $\beta$  platelet-growth factor, transforming growth factor; TGF-alpha and TGF-beta insulin-

like growth factor-I and -II, insulin-like growth factor binding proteins, CD-4, DNase, latency associated peptide erythropoietin, osteoinductive factors, interferon, alpha, -beta, and -gamma, colony stimulating factors (CSFs), M-CSF, GM-CSF, and G-CSF, interleukins (ILs), IL-1, IL-2, IL-3, IL-4, IL-6, IL-7, superoxide dismutase; viral antigens; HIV envelope proteins GP120 and GP140, immuno globulins, and fragments of the above listed proteins.

The following Examples are provided to further illustrate the present invention.

#### EXAMPLE I

##### CONSTRUCTION OF pGEM2AdCMV

Plasmid pBstSK+ (0-353) contains adenovirus-5 sequence from nt 0-353 inserted into pBstSK+ vector at the *EcoRI* site and the *SstII* site (which has been lost by blunt end ligation). These sequences are required viral elements which include the origin for DNA replication and the viral packaging sequence. The CMV enhancer/promoter was taken from the plasmid CDM8 (INVITROGEN) by digestion with *HindIII* and *HincII*. *NotI* linkers were added to the *HincII* site followed by digestion with *NotI* and *SstI*. Isolation of the resulting 592 bp fragment (CDM8 numbers 1533-2192) and insertion into pBstSK+ 0-353 vector at the *NotI* and *SstI* sites gave the plasmid pBstSK+ 0-353-CMV. The *EcoRI*-*SstI* fragment was isolated and inserted into the *EcoRI*-*SstI* sites of pGEM 2 vector to generate pGEM2AdCMV, as shown in Figure 2. This construct has a polylinker from *SstI* to *HindIII* available for cloning.

#### EXAMPLE II

##### CONSTRUCTION OF pMLSISCATgD

The SV40 poly A site was deleted from vector pMLSISCAT (Figure 3) (Huang et al., 1990, NAR, vol. 18, pp. 937-947, which disclosure is hereby incorporated by reference) by *NarI*-*KpnI* digestion and blunt end circularization of the plasmid to make pMLSISCAT(-pA). The mouse  $\beta$ -major globin poly

A site was isolated by *NarI-SalI* digestion of pMLgDØ. This fragment was blunt end inserted into the *BamHI* site of pMLSISCAT(-pA) (Figure 4) to create pMLSISCATgD (Figure 5).

5

### EXAMPLE III

#### CONSTRUCTION OF pAdCMVCatD

pGEM2AdCMV (Figure 2) was digested with *XbaI*;  
pMLSISCATgD (Figure 5) was digested with *XbaI* and the fragment  
containing the splicing elements, the coding sequence for CAT  
and the globin poly(A) site was isolated and inserted into the  
10 *XbaI* site of pGEM2AdCMV to create pGEM2AdCMVCatgD (Figure 11).  
pGEM2AdCMVCatgD was digested with *PvuI* and *SalI* and the coding  
plasmid was isolated and inserted into vector pMLP6gEF also  
cut with *PvuI* and *SalI*. The plasmid was constructed into an  
15 intact replication defective adenovirus by co-transfecting the  
plasmid vector with the 3.6-100 m.u. large fragment of  
adenovirus in 293 cells.

**EXAMPLE IV**

**CONSTRUCTION OF pAdCMVdHCatgD**

One of the three *Hind*III restriction sites in pAdCMVCatgD (Figure 6) was deleted by partial *Hind*III  
5 digestion and filling by Klenow large fragment of DNA polymerase followed by plasmid circularization and ligation. This allowed removal of the CAT sequence and the poly(A) site by *Hind*III digestion, with the retention of promoter and splicing sequences. A 1100 bp of E1B sequence was deleted.

10

**EXAMPLE V**

**CONSTRUCTION OF pAdCMVCatgDNeo(-)**

The unique restriction site *Not*I located at position 361 can be used to insert any additional gene of interest. As  
15 a test construct a *Not*I fragment from pPYNeo was isolated which contained the Neomycin resistance gene driven by the polyoma promoter and using the SV40 splicing and polyadenylation elements. This strategy resulted in the introduction of the Neo gene into the vector in two  
20 orientations relative to the direction of CAT gene expression (+) and (-). Both of these constructs were used in virus constructions, however, only the AdCMVCatDNeo(-) virus has been isolated to date.

25

**EXAMPLE VI**

**CONSTRUCTION OF pAdCMVTRHrE3**

Using vector pAdCMVdHCatgD (Figure 6), cDNA for thyrotropin releasing hormone receptor (which contains the adenovirus E2 poly(A) site) was inserted directly into the  
30 *Hind*III digested vector to construct vector pAdCMVTRHrE3 (Figure 9).

**EXAMPLE VII**

**CONSTRUCTION OF pAdCMV-GAMMA INTERFERON L3**

35

The cDNA for gamma interferon (with the added poly(A) site from adenovirus major late L3) was inserted into the pAdCMVdHCatgD (Figure 6) *Hind*III digested vector to

construct vector pAdCMVdH-IFN-GL3.

#### EXAMPLE VIII

##### CONSTRUCTION OF pAdCMV-HS-Vector

5           pAdCMV-HS-Vector (Figures 1(a) & 1(b)) has the  
globin poly(A) site inserted downstream of the L3 poly(A) site  
of pAdCMV-gamma interferon. Digestion with *Hind*III and *Sal*I  
released the Interferon cDNA and the L3 poly(A) site leaving  
10 the Adenovirus 0-353 sequence, the CMV promoter, the splice  
acceptor and donor, and the globin poly(A) site and adenovirus  
sequence from 2800-5776. With reference to Figure 22, there  
is shown the nucleotide sequence of pAdCMV-HS-Vector.

#### EXAMPLE IX

##### EXPRESSION OF THYROTROPIN-RELEASING

##### HORMONE (TRH) RECEPTORS

###### 1. Materials:

Dulbecco's modified Eagle's medium, modified Eagle's  
medium, Ham's F10 medium and horse and fetal bovine serums  
20 were purchased from GIBCO. Nu-serum was obtained from  
Collaborative Research. TRH, methylTRH and PMA were obtained  
from SIGMA. myo-[<sup>3</sup>H]inositol was obtained from Amersham.  
[<sup>3</sup>H]methylTRH was obtained from Du Pont-New England Nuclear.  
The expression vector pCDM8 was obtained from INVITROGEN.

25

###### 2. Construction of AdCMVmTRHR:

The parent plasmid, pAdCMVmTRHR, was constructed by  
inserting a 1.2 kb *Eco*RI-*Not*I fragment containing the protein-  
coding region of the mouse TRH-R cDNA, nucleotides 233-1462 of  
30 plasmid pBSmTRHR (Straub et al., 1990, *Proc. Natl. Acad. Sci.*  
*U.S.A.*, vol. 87, pp. 9514-9518, which disclosure is hereby  
incorporated by reference), into plasmid pGEM2-L3-114 at the  
*Eco*RI-*Bam*HI site. After digesting with *Eco*RI and using the  
Klenow fragment of DNA polymerase I to make blunt DNA ends,  
35 *Hind*III linkers were ligated and a 1.4 kb *Hind*III fragment  
containing mouse TRH-R cDNA and the adenovirus E2 poly(A)  
signal sequence was isolated and inserted into the *Hind*III



site of the pAdCMV-HS-Vector (i.e., expression plasmid of the present invention) which contains the left end replication and packaging elements of adenovirus, the cytomegalovirus-1 promoter and splicing elements from plasmid pML-SIS Cat (Huang et al., 1990, *Nucleic Acids Res.*, vol. 18, pp. 937-947, which disclosure is hereby incorporated by reference). Following verification of the plasmid by restriction site mapping and transient transfection of pAdCMVmTRHR into COS-1 cells to demonstrate TRH-R expression, the virus AdCMVmTRHR was constructed by overlap recombination as described by Tantravahi et al., 1993, *Mol. Cell. Biol.*, vol. 13, pp. 578-587, which disclosure is hereby incorporated by reference. All transfections were carried out in human embryonic kidney cells transformed with the E1 region of adenovirus type 5 according to the procedure of Graham et al., 1977, *J. Gen. Virol.*, vol. 36, pp. 59-72, which disclosure is hereby incorporated by reference. Following plaque purification, virus was grown in 293 cells in suspension cultures as described by Antravahi et al., 1993, *Mol. Cell. Biol.*, vol. 13, pp. 578-587, which disclosure is hereby incorporated by reference. The entire sequence coding for the adenovirus E1a gene was removed as well as the 5' 1.8 kb of the E1b gene. Co-transfection of pAdCMVmTRHR with the large fragment of adenovirus (3.8-100 map units) into 293 cells resulted in production of recombinant virus AdCMVmTRHR.

### 3. Infection with AdCMVmTRHR:

Cells were seeded in wells (3.8 cm<sup>2</sup>) pretreated with poly-L-lysine and were incubated in medium supplemented with serum in a humidified atmosphere of 5% CO<sub>2</sub>. After a minimum of 4 hours, the medium was aspirated and replaced with 0.3 ml of medium without serum, AdCMVmTRHR (300 particles/cell) was added and the cells were incubated at 37°C. After 1 hour, 0.7 to 1.0 ml medium containing serum was added and the incubation continued for 3 to 72 hours. Infection with AdCMVmTRHR was performed in an identical manner for all cell types except that the incubation mediums were different. The mediums were:

Dulbecco's modified Eagle's medium supplemented with 5% Nu-Serum for human cervical cancer HeLa cells, monkey kidney Cos-1 and CV-1 cells, and rat glioma C6 cells; Ham's F-10 medium with 15% horse serum and 2.5% fetal bovine serum for rat  
5 pituitary tumor GHY cells; Delbecco's modified Eagle's medium with 10% Nu-Serum for mouse pituitary tumor AtT-20 cells; and modified Eagle's medium with 10% fetal bovine serum for human epidermoid KB cells. None of these cell lines express TRH-Rs. Cells were studied 16 to 24 hours after infection with 300  
10 AdCMVmTRHR particles per cell which yielded maximal TRH-R expression.

#### 4. Transfection with pAdCMVmTRHR or pCDM8mTRHR:

pCDM8mTRHR is an expression vector in which TRH-R  
15 DNA transcription is controlled by a cytomegalovirus-1 promoter and which contains the SV-40 sequence for plasmid replication in COS-1 cells (Straub et al., 1990, *Proc. Natl. Acad. Sci. U.S.A.*, vol. 87, pp. 9514-9518, which disclosure is hereby incorporated by reference). One of two DEAE dextran  
20 methods (Cullen, B.R., 1987, *Methods. Enzymol.*, vol. 152, pp. 684-704, which disclosure is hereby incorporated by reference) that yielded the higher level of expression was used depending on the cell type. For HeLa, CV1 and COS-1 cells, a protocol that included incubation with pAdCMVmTRHR or pCDM8mTRHR and  
25 DEAE dextran at 37°C, incubation with 0.08 mM chloroquine for 2.5 hours and addition of dimethylsulfoxide (10%) for 2.5 minutes was used (Straub et al., 1990, *Proc. Natl. Acad. Sci. U.S.A.*, vol. 87, pp. 9514-9518, which disclosure is hereby incorporated by reference). For GHY, AtT-20 and C6 cells,  
30 incubation with plasmid and DEAE dextran was for 0.5 hours at 4°C and no chloroquine or dimethylsulfoxide was added (Fujimoto et al., *Endocrinology*, vol. 130, pp. 1879-1884, which disclosure is hereby incorporated by reference). Cells were studied 48 to 72 hours after transfection, which are times of  
35 maximum TRH-R expression.

#### 5. Measurement of TRH-R number:

Binding of 0.1 to 7.5 nM [<sup>3</sup>H]methylTRH, an analog of higher affinity and potency than TRH (Vale et al., 1971, *Endocrinology*, vol. 89, pp. 1485-1488, which disclosure is hereby incorporated reference), to intact cells was measured as described by Gershengorn, M.C., 1978, *J.Clin. Invest.*, vol. 62, pp. 937-943, which disclosure is hereby incorporated by reference. Binding isotherms were fitted and dissociation constants ( $K_d$ s) and receptor numbers (one-to-one stoichiometry of methylTRH and receptor) were obtained with the INPLOT program (Graphpad). Receptor number was calculated using the following equation: fractional occupancy =  $1 / [1 + (K_d/L)]$ . Receptor number is given assuming that all cells in the population are expressing equal numbers of TRH-Rs. This appears to be the case with infections using 300 AdCMVmTRHR particles per cell (not shown).

6. Measurement of TRH response:

Infected or transfected cells were labelled for 24 hours with [<sup>3</sup>H]myo-inositol, stimulated with TRH or methylTRH in a balanced salt solution containing 10 mM LiCl and [<sup>3</sup>H]IPs were measured as described by Imai et al., 1987, *Methods. Enzymol.*, vol. 141, pp. 100-101, which disclosure is hereby incorporated by reference.

7. Measurement of desensitization and inhibition by PMA:

Cells were incubated in medium with serum containing myo-[<sup>3</sup>H]inositol (1  $\mu$ Ci/ml) for 24 hours prior to infection and studied 16 to 24 hours after infection. The desensitization protocol was as described by Perlmand et al., 1991, *Endocrinology*, vol. 129, pp. 2679-2686, which disclosure is hereby incorporated by reference, except all incubations were at 37°C. Stimulation by TRH was in cells incubating in medium with serum containing myo-[<sup>3</sup>H]inositol to prevent depletion of <sup>3</sup>H-labelled phosphoinositide substrate. The rate of IP formation was determined by linear regression analysis of the amount of [<sup>3</sup>H]IPs, expressed as % of <sup>3</sup>H-labelled phosphoinositides, per minute during a 30 minute incubation.

The desensitized rate is measured after 60 minutes of stimulation by 1  $\mu$ M TRH by adding LiCl to a final concentration of 10 mM. The initial rate of TRH-stimulated IP formation is measured by adding TRH and LiCl simultaneously (at 60 minutes in parallel with the desensitized cells). In experiments with PMA, PMA was dissolved in dimethylsulfoxide and was added 60 minutes prior to TRH and LiCl to a final concentration of 0.1  $\mu$ M.

#### 8. Internalization of TRH-Rs:

Internalization was measured as specifically bound [ $^3$ H]methylTRH that was resistant to acid wash (Hinkle et al., 1982, *J.Biol. Chem.*, vol. 257, pp. 5462-5470; and Nussenzveig et al., 1993, *J.Biol. Chem.*, vol. 268, pp. 2389-2392, which disclosures are hereby incorporated by reference). Specific acid resistant binding was calculated by subtracting the nonspecifically bound from the [ $^3$ H]methylTRH remaining after acid/salt elution.

### RESULTS

A highly efficient, replication defective recombinant adenovirus, AdCMVmTRHR, was constructed which contains the coding sequence of the mouse TRH-R under the control of the cytomegalovirus-1 promoter and RNA processing elements inserted at the E1 region of a parent adenovirus-5 genome, dl309 or a derived derivative (Jones et al., 1979, *Cell*, vol. 17, pp. 683-689, which disclosure is hereby incorporated by reference). The strategy employed for the construction of AdCMVmTRHR (Fig. 13) was similar to that used in the construction of the plasmid vector constructs of the invention (Figs. 1(a) & 1(b)). With reference to Figure 13, there is shown plasmid vector pAdCMVmTRHR, which was used to produce recombinant adenovirus AdCMVmTRHR. Turning to Figure 13, the left end of the adenovirus starts at position 1. The adenovirus sequence from nucleotide 1-353 contains the origin of replication and the viral packaging sequence. The adenovirus sequence from 354-2800 is deleted and replaced with

the CMV-1 promoter, splice elements, the protein coding region of the mouse TRH-R cDNA sequence and the E2 poly(A) site. The left end adenovirus sequence from nucleotides 2800-5776 serve as the region for homologous recombination.

5                   The novelty of AdCMVmTRHR as a vector for expression of TRH-Rs and its advantage over transfection are illustrated in Figure 14. With reference to Figure 14, the levels of TRH-R expression (upper panel) and methylTRH stimulation of [<sup>3</sup>H]IP formation (lower panel) were measured as previously described.

10   The data in the (upper panel) are presented as number of receptors per cell assuming that all cells express equal numbers of TRH-Rs. The bars in both panels represent the mean ±SD of triplicate determinations in a representative experiment that was performed 3 times. In these experiments

15   the plasmid vector used for virus construction, and expression of TRH-Rs after infection with AdCMVmTRHR and after transfection with pAdCMVmTRHR, were compared in HeLa cells, rat pituitary tumor GHY cells, mouse pituitary tumor AtT-20 cells, rat glioma C6 cells and monkey kidney CV1 and COS-1

20   cells. These cell lines were chosen because they represent a wide variety of cell types which do not express TRH-Rs. That is, HeLa cells were studied because they are readily infected with adenovirus. GHY cells were studied because they are a subclone of the cells in which endogenous TRH-Rs have been

25   most well-studied. COS-1 cells were studied because they are a commonly used, transformed cell line that permits high levels of expression during transient assays.

TRH-Rs expressed on the surface of these cells after infection with AdCMVmTRHR bound methylTRH with the same

30   affinity as native TRH-Rs on mouse pituitary cells (Gershengorn et al., 1978, *J. Clin. Invest.*, vol. 62, pp. 937-943, which disclosure is hereby incorporated by reference) or TRH-Rs stably (Fujimoto et al., 1992, *Endocrinology*, vol. 130, pp. 1879-1884, which disclosure is hereby incorporated by

35   reference) or transiently (Straub et al., 1990, *Proc. Natl. Acad. Sci. USA*, vol. 87, pp. 9514-9518; and Perlman et al., 1992, *J. Biol. Chem.*, vol. 267, pp. 24413-24417, which

disclosures are hereby incorporated by reference) expressed on several different cell types including COS-1 and HeLa cells after transfection. The dissociation constant for methylTRH binding was  $1.09 \pm 0.26$  nM (data not shown). An important finding was that there was a higher level of TRH-R expression in every cell type except COS-1 cells when gene transfer was mediated by AdCMVmTRHR infection compared to transfection with pAdCMVmTRHR (Fig. 14, upper panel) or with plasmid, pCDM8mTRHR (Straub et al., 1990, *Proc. Natl. Acad. Sci. USA*, vol. 87, pp. 9514-9518, which disclosure is hereby incorporated by reference), that can replicate efficiently in COS-1 cells (data not shown). Under the conditions studied, there were marked differences among the various cell types in the levels of expression of TRH-Rs after infection by AdCMVmTRHR. Although the optimal conditions for AdCMVmTRHR-mediated TRH-R expression in each cell type has not been determined, these differences may be related to intrinsic characteristics of the different cell types rather than differences in conditions needed for optimal infection. For example, there may be cell-specific differences in efficiencies of adenovirus infection, perhaps related to the number of adenovirus receptors, of expression of exogenous genes in general or of TRH-R specifically, or in turnover of TRH-Rs. Infection by AdCMVmTRHR led to higher levels of TRH-R expression in a wider range of cell types than transient transfection.

A proximal step after TRH-R activation is stimulation of the formation of IP second messengers (Gershengorn et al., 1986, *Annu. Rev. Physiol.*, vol. 48, pp. 515-526; and Drummong, A. H., 1986, *J. Exp. Biol.*, vol. 124, pp. 337-358, which disclosure is hereby incorporated by reference). Therefore, ethylTRH stimulation of IP formation was measured as a response to TRH-R activation. Uninfected HeLa, CHY, AtT, C6, CV1 and COS-1 cells did not respond to methylTRH. In parallel with the number of TRH-Rs, there was a greater stimulation of IP formation by methylTRH in all cell types after infection by AdCMVmTRHR than after transfection. However, there was no correlation between the magnitude of the

5 methylTRH response and the number of TRH-Rs when comparing  
different cell types. For example, methylTRH stimulation of  
IP formation was greater in AtT-20 cells which expressed TRH-  
Rs at a lower number than in HeLa cells with a greater number  
10 of TRH-Rs. One explanation for this observation may be that  
there are differences in post-receptor components of the  
signal transduction cascades within these different cell  
types. Another finding was that the magnitude of response to  
methylTRH in COS-1 cells was greater after infection than  
15 after transfection even though the total number of receptors  
was similar. This may be because all COS-1 cells expressed a  
maximally effective number of TRH-Rs after AdCMVmTRHR  
infection, whereas only a fraction of the transfected cells  
were expressing maximally effective numbers of TRH-Rs because  
infection is more efficient than transfection.

— In rat GH<sub>3</sub> pituitary cells naturally expressing TRH-  
Rs, the TRH response is rapidly desensitized (Perlman et al.,  
1991, *Endocrinology*, vol. 129, pp. 2679-2686, which disclosure  
is hereby incorporated by reference). This effect occurs  
20 prior to any decrease in the number of TRH-Rs ("down-  
regulation") (Gershengorn, M. C., 1978, *J. Clin. Invest.*, vol.  
62, pp. 937-943; and Hinkle et al., 1975, *Biochemistry*, vol.  
14, pp. 3845-3851, which disclosures are hereby incorporated  
by reference. This response to TRH is also blunted in GH<sub>3</sub>  
25 cells preincubated with phorbol esters, such as PMA, which  
activate protein kinase C (Drummond, A. H., 1986, *J. Exp.*  
*Biol.*, vol. 124, pp. 337-358, which disclosure is hereby  
incorporated by reference). Evidence, however, is presented  
that these two effects are distinct and suggested that TRH-  
30 induced desensitization is not mediated primarily by protein  
kinase C (Perlman et al., 1991, *Endocrinology*, vol. 129, pp.  
2679-2686, which disclosure is hereby incorporate by  
reference). Although the molecular mechanisms of TRH-induced  
desensitization and of PMA-induced inhibition of the TRH  
35 response have not been elucidated, it is likely that they are  
mediated by receptor phosphorylation (Lefkowitz et al., 1992,  
*Cold Spring Harbor Symp. Quant. Biol.*, vol. 57, pp. 127-134,

which disclosure is hereby incorporate by reference). Because different cell types contain different complements of protein kinases, it was possible that TRH-induced desensitization and PMA-induced inhibition of the TRH response are cell type specific. AdCMVmTRHR infection was used to express TRH-Rs in several different cell types. Figure 15 illustrates that TRH-induced desensitization and PMA inhibition of the TRH response do not occur in all cell types. With reference to Figure 15, GHY, COS-1 (COS) and KB cells were infected with 300 AdCMVmTRHR particles per cell and TRH-induced desensitization and PMA-induced inhibition of the TRH response was measured as previously described. The data represent the mean  $\pm$ SD of triplicate determinations in a representative experiment that was performed two or three times. TRH-induced desensitization and PMA-induced inhibition of the TRH response were observed in both pituitary cell types studied. In AdCMVmTRHR-infected GHY cells, the response to TRH is decreased by  $49 \pm 5.2\%$  after 60 minutes of TRH stimulation and PMA inhibits the response by  $25 \pm 4.6\%$ . Similar observations were made in AdCMVmTRHR-infected AtT-20 cells in which TRH-induced desensitization led to IP formation at a rate decreased by  $41 \pm 4.7\%$  compared to control and PMA decreased the TRH response by  $37 \pm 4.0\%$ . These effects are indistinguishable from those measured with endogenous TRH-Rs in GH<sub>3</sub> cells (Perlman et al., 1991, *Endocrinology*, vol. 129, pp. 2679-2686, which disclosure is hereby incorporated by reference). In contrast, in AdCMVmTRHR-infected COS-1 cells, the response to TRH did not desensitize whereas PMA inhibited the TRH response by  $37 \pm 8.0\%$ . In AdCMVmTRHR-infected KB cells, which expressed  $1.16 \pm 0.02 \times 10^6$  TRH-Rs per cell, there was no TRH-induced desensitization ( $0 \pm 10\%$ ) and PMA did not inhibit the TRH response ( $0 \pm 10\%$ ). Thus, in a limited survey of cell lines, TRH-induced desensitization and PMA-induced inhibition of the TRH response were found only in two rodent pituitary-derived cell types, PMA-induced inhibition of TRH responsiveness but not TRH-induced desensitization was observed in monkey kidney-derived cells, and neither TRH-induced desensitization nor PMA-induced



inhibition of TRH responsiveness were found in human epidermoid-derived cells. These findings support our previous suggestion that TRH-induced desensitization is not mediated by protein kinase C as TRH-induced desensitization does not occur but PMA inhibits the TRH response in COS-1 cells.

Rapid internalization is another process that many GPCRs, including TRH-Rs (Nussenzveig et al., 1993, *J. Biol. Chem.*, vol. 268, pp. 2389-2392; and Hinkle, P. M., 1989, *Ann. N. Y. Acad. Sci.*, vol. 553, pp. 176-187, which disclosures are hereby incorporated by reference), undergo after binding (Dohlman et al., 1991, *Annu. Rev. Biochem.*, vol. 60, pp. 653-688, which disclosure is hereby incorporated by reference). To determine whether TRH-R internalization is cell type specific, we measured internalization of bound methylTRH in three AdCMVvTRHR-infected cell lines which displayed differences in TRH-induced desensitization or PMA-induced inhibition of the TRH response, or both. Internalization in cell lines that do and do not exhibit rapid desensitization induced by TRH was measured because it has been controversial whether these two processes are related. Figure 16 illustrates that internalization of methylTRH-bound TRH-Rs was faster in AdCMVvTRHR-infected GHY cells than in COS-1 cells and KB cells but that the fraction of receptors internalized after 60 minutes was similar in all three cell types. After 60 minutes,  $64 \pm 7.0\%$ ,  $62 \pm 2.1\%$ , and  $71 \pm 2.6\%$  of TRH-Rs were internalized in AdCMVvTRHR-infected GHY, COA-1 and KB cells, respectively. With reference to Figure 16, internalization of TRH-Rs was measured as previously described. The data represent mean  $\pm$ SD of triplicate determinations in a representative experiment performed twice. In these three cell lines, agonist-induced internalization of TRH-Rs exhibited small kinetic differences but the extent of internalization after 60 minutes, the time at which measured desensitization were similar.

A number of aspects of GPCR biology may vary when receptors are expressed in different cell types. For example, the same GPCR may activate different signal transduction

pathways when expressed in different cell types (Milligan et al., 1993, *Trends Pharmacol. Sci.*, vol. 553, pp. 176-187, which disclosure is hereby incorporated by reference). Agonist-induced desensitization, which is a process that commonly accompanies activation of GPCRs, appears to be mediated by a conserved set of intracellular regulatory proteins including protein kinases and arrestin-like proteins (Lefkowitz et al. 1993, *Adv. Second Messenger Phosphoprotein Res.*, vol. 28, pp. 1-9; and Lefkowitz, R. J., 1993, *Cell*, vol. 74, pp. 409-412, which disclosures are hereby incorporated by reference). The data demonstrated that desensitization of TRH-Rs may occur in some cell types (GHY and AtT-20 cells) but not in others (COS-1 and KB cells). Although we have been able to show TRH-induced desensitization only in cell lines derived from the pituitary gland, it can not be concluded that TRH-R desensitization occurs only in pituitary-derived cells because only a small number of cell lines were studied. In contrast to desensitization, agonist-induced TRH-R internalization occurred in GHY, COS-1 and KB cells. This finding supports previous conclusions (Kobilka, B., 1992, *Annu. Rev. Neurosci.*, vol. 15, pp. 87-114, which disclosure is hereby incorporated by reference) that the mechanisms that mediate desensitization and internalization are distinct.

In summary, a replication defective adenovirus, AdCMVmTRHR, was constructed in accordance with the present invention and used for the high efficiency expression of TRH-Rs. Using this virus, we have been able to express TRH-Rs in a variety of mammalian cell types and study several aspects of TRH-R biology in different cell environments. We found that desensitization of the TRH response is cell type specific which occurred only in pituitary-derived cells in a limited survey of cell types whereas agonist-induced TRH-R internalization is found more generally. It was concluded that adenovirus mediated gene transfer is an excellent method for expression of TRH-Rs and suggest that this approach could be extended for expression of other cell regulatory proteins in many cell types. The versatility of adenovirus-mediated

gene transfer and expression of TRH-Rs not only facilitates *in vitro* studies of TRH-R biology, but should also provide a valuable *in vivo* expression vector capable of extending TRH-R studies to animal model systems.

5

EXAMPLE VIII

QUANTITATIVE DETERMINATION OF ADENOVIRUS-MEDIATED  
GENE DELIVERY TO RAT CARDIAC MYOCYTES IN VITRO AND IN VIVO

10 1. Isolation and culture of Rat Cardiac Myocytes:

Primary fetal cardiac myocytes were prepared from fetal day 20 Sprague-Dawley rats (Taconic Farms) by modification of the protocol of de Carvalho et al., 1992, *Circ. Res.*, vol. 70, pp. 733-742, which disclosure is hereby  
15 incorporated by reference. Cardiac cells were preplated for 1 hour in order to remove fibroblasts.  $1.8 \times 10^6$  cells were then plated per 25mm tissue culture dishes (Corning) in heart medium (Hank's salt solution supplemented with MEM Vitamin Stock, MEM amino acids, MEM non-essential amino acids, L-  
20 Glutamine (2mM), 1% Glycine, 2% Hypoxanthine, 1% Penn-Strep,  $\text{NaHCO}_3$ ) with 10% fetal bovine serum (Hyclone). Primary adult cardiac myocytes were prepared from the hearts of 200g female Sprague-Dawley rats (Taconic Farms) according to the protocol of White et al. 1993, *Biophys. J.*, vol. 65, pp. 196-204, which  
25 disclosure is hereby incorporated by reference.  $2.4 \times 10^5$  cells were plated in heart medium per 60mm dish coated with 20 ug/ml of laminin (Boehringer Mannheim). Cells were maintained in culture at 37°C, 5%  $\text{CO}_2$ . Cell culture medium was changed every other day for the duration of the assay.

30

2. Virus production:

Virus (plaguig) and the preparation of viral stocks were performed on 293 monolayer cells as described by Tantravahi et al., 1993, *Mol. Cell. Biol.*, vol. 13, pp. 578-  
35 587, which disclosure is hereby incorporated by reference.

3. Infection of cardiac myocytes:

Forty eight hours after plating, fetal myocytes were infected with AdCMVCATgD at 0.01, 0.1, 1, 10 pfu/cell. The adult cells were infected with the same doses immediately after plating. AdCMVCATgD ( $10^{10}$  pfu/ml) was diluted in heart media without added serum. One ml of media + virus was added to each 60mm dish. The dishes were incubated for 90 minutes at 37°C, swirling gently every 15 minutes after which 1 ml of heart media (supplemented with a final concentration of 10% fetal bovine serum) was added to each dish.

#### 4. Immunohistochemistry:

Cells were fixed on coverslips in 3.7% formaldehyde in phosphate buffered saline (8M NaCl, 0.2M KCl, 1.44M  $\text{NaH}_2\text{PO}_4$ ,  $\text{KH}_2\text{PO}_4$ , pH 7.4) (PBS) for 10 minutes at room temperature. Coverslips were then washed in PBS. Cells were blocked in 10% normal goat Serum (NGS) (Jackson Immunolabs) for 2 hours at 37°C. The coverslips were then incubated for 2 hours at 37°C with a commercially available unconjugated rabbit polyclonal antibody which recognizes CAT (5 Prime-3 Prime) at a 1:1000 dilution in PBS containing 0.1% Triton, 1% NGS. Following three 5 minutes washes in PBS, the coverslips were incubated for 1 hour at 37°C with a peroxidase conjugated goat anti-rabbit antibody (BioRad) at a 1:200 dilution in PBS containing 0.1% Triton, 1% NGS. After three 5 minutes washes in PBS, the peroxidase reaction was developed using Vectastain DAB (Vector) according to manufacturer's instructions. For tissue sections, five days post-injection, hearts were removed and the distal 1/4 of the heart was placed in 3.7% formaldehyde at 4°C overnight. The samples were embedded in a paraffin (Paraplast) according to the protocol of Ausbel et al., 1989, *Current Protocols in Molecular Biology*, Wiley, New York, which disclosure is hereby incorporated by reference. 4-10 $\mu$  tissue sections were cut and placed on slides coated with 0.05% w/v poly-L-Lysine (Sigma) and dried overnight at room temperature. The sections were then ethanol dehydrated, and deparaffinized in xylenes. After rehydration, sections were placed in 0.1% Triton in phosphate buffered saline (PBS) for 5 minutes. The

endogenous peroxidase activity was blocked by placing the sections in 0.3% hydrogen peroxide in methanol for 30 minutes. The antibody staining procedure was carried out as previously described. Following the peroxidase developing reaction the slides were washed in distilled water (dH<sub>2</sub>O) and the heart sections were counterstained with hematoxylin for 12 seconds. The slides were then washed in dH<sub>2</sub>O and mounted with gelvatol (Airvol, Air Products and Chemicals, Inc.).

10    5. CAT assays from myocytes:

At each time point, infected cardiac myocytes were harvested according to the protocol of Ausbel et al., 1989, *Current Protocols in Molecular Biology*, Wiley, New York, which disclosure is hereby incorporated by reference. The amount of protein in the supernatant was measured by Bradford assay using bovine serum albumin (BSA) as the standard (BioRad). CAT assays were performed on 10 µg of total protein. When the amount of CAT activity was greater than 70% and out of the linear range, supernatants were diluted in 0.1 mg/ml BSA. CAT assays were done by TLC according to the method of Kitsis et al., 1993, in *Methods in Molecular Genetics*, ed. Adolph, K.W., Academic Press, Inc., New York, Vol. 1, pp. 374-392, which disclosure is hereby incorporated by reference, incubating for 2 hours at 37°C.

25

6. DNA and virus injections in vivo:

10µg of CMV CAT plasmid DNA in 50µl PBS was injected into the apex of the left ventricle of 200g female Sprague Dawley rats as described by Kitsis et. al., 1993, in *Methods in Molecular Genetics*, ed. Adolph, K.W., Academic Press, Inc., New York, vol. 1, pp. 374-392, which disclosure is hereby incorporated by reference. For the adenovirus injections, 6 x 10<sup>6</sup> to 6 x 10<sup>8</sup> pfu in 50 µl PBS were injected, 2 x 10<sup>9</sup> pfu were injected undiluted in a volume of 50 µl.

35

7. CAT assays on tissue:

At indicated times following injection, hearts were

removed, rinsed in PBS and weighed. For the spatial distribution experiment the hearts were then sectioned into seven roughly equivalent slices. Each slice was then homogenized using a Tissumizer (Tekmar) in a volume of 0.5 mls buffer (1M Gly gly pH 7.8, 150mM MgSO<sub>4</sub>, 500mM EGTA pH 8.0, 1M DTT) for 20 sec. For the dosage, and time course experiments the hearts were homogenized the same way but in a volume equal to 0.5g wet tissue weight per ml of buffer. The homogenates were centrifuged for 25 minutes at 4640 xg. Supernatants were then removed, heated at 65°C, and clarified in a microfuge for 5 minutes. Supernatant volumes were measured and CAT assays were done on 5% of the lysate or on dilutions of lysate in 0.1 mg/ml BSA. Assays were done as above for 2 hours at 37°C.

#### RESULTS

The replication defective recombinant adenovirus, AdCMVCATgD, comprising a strong eukaryotic promoter (CMV-1) and splicing elements, has proven to be a very sensitive vector for gene expression studies in human cell lines. With reference to Figure 17, there is shown the plasmid vector, pAdCMVCATgD, which was used to produce recombinant adenovirus AdCMVCATgD. Turning to Figure 17, the left end of adenovirus (0-1 map units (m.u.)) contains the origin of replication as well as the viral packaging sequence. The adenovirus sequence from 1.0-3.8 m.u.'s was deleted and replaced with the sequence elements for the CMV-1 promoter, the bacterial CAT sequence and the mouse  $\beta^{maj}$  globin poly(A) site. Adenovirus sequences from 3.8-15.0 m.u.'s provides DNA sequence for homologous recombination.

Recombinant adenovirus, AdCMVCATgD, was used to characterize adenovirus mediated gene transfer into cardiac myocytes *in vitro* and *in vivo*. Figure 18(a) shows the dose response and time course of AdCMVCATgD infection into primary fetal rat cardiocytes. With reference to Figures 18(a) & 18(b), relative CAT activity refers to the percent of acetylated chloramphenicol/total chloramphenicol relative to 10 $\mu$ g total protein multiplied by the dilution factor of the

cell lysate in order to keep the assays within the linear range. The duration of study in adult cells was shortened due to reduced cell viability regardless of the presence of adenovirus. In these studies, infection was assessed both by quantitating CAT reporter gene expression and by determining the percentage of cells expressing the CAT reporter gene by immunostaining. Because of the extremely high levels of CAT activity obtained, dilutions of cell extracts were made to maintain assays in the linear range of the CAT assay.

CAT activity was easily detected at the earliest measured time point (4 hours), was near maximal by 48 hours, and was maintained at stable levels through the remainder of the experiment (a total of 167 hours). A dose-dependent increase was maintained over a range of hour logs of virus input throughout much of the time course. The same basic extent and level of infection and expression was found in adult cardiocytes (Figure 16(b)) when infected under similar conditions. However, the duration of study was shortened to 48 hours due to the difficulty in maintaining healthy differentiated adult cardiac myocytes in culture, independent of virus infection. Based on these assays, the sensitivity of the AdCMVCATgD CAT assay, and the levels of activity resulting from these infections, it was redacted that CAT expression could be reliably detected in as few as 10 infected cells.

At each dose of virus, the percentage of fetal cells which were expressing CAT was determined by immunostaining coverslips of infected fetal cardiocytes 18 and 48 hours post infection. Mock-infected cells show no staining, but cells infected with increasing doses of virus show a proportional increase in the number of cells infected, with 1 pfu/cell (100 particles) resulting in virtually 100% of the cells being stained (data not shown). The virus infection included both myocytes and the small proportion of nonmyocyte fibroblasts (<5%) which remained in the culture following initial myocyte purification (data not shown). Similar results were obtained with adult cardiac myocytes. At an infection of 1 pfu or greater, 100% of the rod-shaped adult myocytes stained

positive with an anti-CAT antibody. This was true at both 4 and 48 hours. Myocytes which were rounded up also stained positive for CAT, and sarcomeric myosin heavy chain, and excluded trypan blue (data not shown).

5           Adenovirus mediated gene transfer offers advantages to transient transfection assays when using cultured myocytes. The quantitative advantages of using AdCMVCATgD *in vitro* was examined to determine whether it could be extended to *in vivo* studies. 6 x 10<sup>7</sup> pfu of AdCMVCATgD virus were injected into  
10 adult rat hearts in a volume of 50 µl. A parallel injection of 10 µg of the plasmid pAdCMVCATgD was carried out for quantitative comparison. Five days following injection of virus or DNA, hearts were sliced into approximately seven 1.5mm sections perpendicular to the long axis of the heart.  
15 The amount of CAT activity was quantitated in each section. When either plasmid DNA or AdCMVCATgD is injected into rat heart, expression of the reporter gene is localized predominantly to the vicinity of the injection site (Figure 19). Wity reference to Figure 19, total CAT activity from DNA  
20 injected hearts in relative units = 2799 +/- 1353. Total CAT activity for adenovirus injected hearts in relative units = 117,501 +/- 15,944. The fold difference in activity was calculated based on 75 ng of CAT DNA in 6 x 10<sup>7</sup> pfu of virus. Each line corresponds to a different animal.

25           Although the virus infection proved to be at least 5000 fold more efficient than the plasmid DNA injection on the basis of input DNA, the distribution of CAT activity from both DNA and virus administration is essentially identical. The highest level of expression was observed at the area of  
30 injection with a gradient of CAT activity extending towards the base of the heart.

          Given the high levels of CAT activity that were obtained from virus injection, the dose responsiveness of a range of virus from 6 x 10<sup>6</sup> pfu up to 2 x 10<sup>9</sup> pfu/injection was  
35 examined. Five days following injection, hearts were homogenized and assayed for CAT activity (Figure 20(a)). Increasing CAT activity correlated with increasing virus,



although not in an entirely linear fashion. With reference to Figure 20(b), there is shown the duration of CAT activity following a single injection of  $6 \times 10^7$  pfu of AdCMVCATgD. Animals were sacrificed and CAT activity in the left ventricle was measured 15 hours, 5 days, 12 days, 21 days, 43 days, and 55 days following injection.  $n=4$ , except for the 43 and 55 day time points, where  $n=2$ . CAT activity can be detected as early as 15 hours post infection, reaching maximal levels approximately 5 days post injection. Although CAT activity is still easily detectable 43 and 55 days following injection, expression levels are 5-6 logs lower relative to peak activity. To determine the number and type of cells in the heart which express CAT, tissue sections were stained with an anti-CAT antibody. As shown in Figure 21, a very high proportion of cells in many regions of the myocardium are expressing CAT antigen at all doses of virus. Three doses of viral input are shown. A,B= $6 \times 10^6$ ; C,D= $6 \times 10^7$ ; E,F= $2 \times 10^9$ . Photographs of tissue sections were taken under Differential Interference Contrast (DIC) microscopy). A,C,E; Bar = 1mm, B,D,F; Bar = .05mm. CAT positive cells are stained brown for peroxidase reaction. All sections are counterstained with hematoxylin. In many regions, virtually 100% of myocytes stain positive. Positive cells include both myocytes and nonmyocytes, although it appears that the proportion of myocytes infected exceeds that of non-myocytes. A substantial number of inflammatory cells were seen (See Figure 21(d) & 21(e)). The nature of this inflammatory response is currently under investigation but does not appear to correlate with the amount of introduced virus. The intensity of peroxidase staining appeared to increase with increasing viral dose. It appears that the lowest dose of virus ( $6 \times 10^6$ ) resulted in a lower intensity of CAT antigen/cell as well as reduced number of infected cells. At higher doses of virus, both an increased number of cells and an increased amount of CAT/cell were obtained.

Cardiac myocytes appear to be ideally suited for the use of adenovirus mediated gene transfer. Transient

transfection of fetal cardiocytes under optimized conditions traditionally results in 10-20% of the cells being transfected. Adenovirus can infect virtually 100% of cells and does not require the use of damaging treatments such as electroporation which generally kills a large number of the cells in the culture. Clearly, fetal cardiocytes possess viral receptors in numbers do not present a limitation to use of adenovirus vectors in rat cardiocytes. With adenovirus infection, there is no apparent effect on cell viability or morphology at the pfu ratios tested here. In addition, adenovirus infections also provide an efficient means of gene transfer into adult cells which has not been possible using conventional transfection strategies (Kirshenbaum et al., 1993, *J. Clin. Invest.*, vol., 92, pp. 381-387, which disclosure is hereby incorporated by reference). A recent report of adenovirus infection of adult rat cardiocytes (Kirshenbaum et al., 1993, *J. Clin. Invest.*, vol. 92, pp. 381-387, which disclosure is hereby incorporated by reference) reported 90% infection at a dose of  $10^3$  pfu/cell. Based on the results of the present invention, it is not necessary to use such a high dose of virus. Because of the efficient CAT expression system, the viral dose required for infection of virtually all cells is in the vicinity of 1 pfu/cell (100 particles). In addition, due to the ability to accurately and reproducibly assay the reporter gene activity within the first 24 hours of infection, studies on primary cell cultures can be accomplished at times when host expression functions may not have been grossly altered, which may not be the case with more conventional transfection techniques.

As shown in Figure 21, in many regions of the heart, virtually 100% of the myocytes were infected. One question that arises is whether genes introduced by adenovirus can produce enough protein to functionally modify the phenotype or physiology of a target organ or animal. We estimate that at least 150  $\mu$ g of CAT protein can be expressed in a single rat heart following administration of  $2 \times 10^9$  pfu of virus, suggesting that the quantity of a foreign gene product is not

likely to be a limitation.

When tissue sections were stained with an anti-CAT antibody, both the number of positive cells as well as the amount of CAT protein per cell increased with increasing virus dose. This was most apparent at the two lowest doses of virus (6 x 10<sup>6</sup> and 6 x 10<sup>7</sup> pfu). This difference was not as apparent among the three highest doses of virus, probably because of the non-quantitative nature of the peroxidase stain. The adult rat heart has been estimated to have 2 x 10<sup>7</sup> myocytes, which represent about 80% of the cells in the intact heart. If adenovirus infection *in vivo* is as efficient as it is *in vitro*, then the three highest doses of virus would theoretically result in infection of all myocytes in the heart. It is difficult to estimate the total number of positive cells because of the unknown sensitivity of the antibody in a paraffin and the variation in the staining intensity. However, we can demonstrate many regions in any one heart that appear to be 100% positive, and other regions with somewhat less CAT antigen, as well as some regions that do not show any apparent staining. Visual inspection suggests that a vastly greater number of cells is infected than when plasmid DNA is introduced by injection (Kitsis et al., 1991, *Proc. Natl. Acad. Sci. USA*, vol. 88, pp. 4138-4142, which disclosure is hereby incorporated by reference).

One of the issues currently under debate concerning the use of adenovirus as a gene transfer vector is duration of expression of introduced genes. The results obtained in accordance with the present invention and those of Lemarchand et al., 1993, *Circ. Res.*, vol. 72, pp. 1132-1138, which disclosure is hereby incorporated by reference, demonstrate a rather transient pattern of expression. It may be that in order to generate long-term expression it will be necessary to introduce the virus into neonates, as has been suggested by Stratford-Perricaudet et al., 1992, *J. Clin. Invest.*, vol. 90, pp. 626-630, which disclosure is hereby incorporated by reference. Studies are currently underway to examine the effect of various routes of infection, tissue distribution and

immune response to this virus *in vivo*. However, it is apparent that adenovirus mediated gene transfer in the heart is extremely efficient and should be a very useful tool for the introduction of genes into cardiac myocytes.

5           It should be understood, that the foregoing embodiments are provided for purpose of illustration only and, not limitation, and that all such modifications or changes which occur to persons skilled in the art are deemed to be within the spirit and scope of the present invention.

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